

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Handwritten signatures and initials, including "3724" and "6/20/03".

Applicant(s): Vishwanathan et al.

Serial No.: 09/608,537

Filed: 6/30/2000

Title: POLISHING PADS FOR  
CHEMICAL MECHANICAL  
PLANARIZATION

Group Art Unit:  
3724  
Examiner:  
Eley, T.

RECEIVED  
APR 14 2003  
TC 3700 MAIL ROOM

Attorney Docket No.: 126

Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

This Information Disclosure Statement is submitted:

☐ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)

☒ under 37 CFR 1.97(c) together with either a:  
☐ Statement under 37 CFR 1.97(e), or  
☒ a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)

☐ under 37 CFR 1.97(d) together with a:  
☐ Statement under 37 CFR 1.97(e), and  
☐ a \$180.00 fee set forth in 37 CFR 1.17(p).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

☒ Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The relevance of the attached references is that this is the closest art of which Applicant is aware.

Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

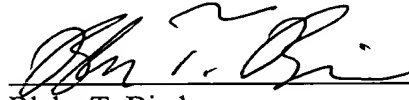
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Date of Deposit: March 31, 2003

Typed Name: Barbara A. Wiley

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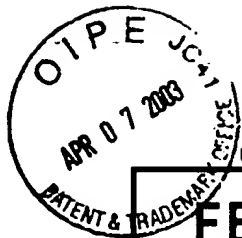
Blake T. Biederman

Attorney for Applicant(s)

Reg. No. 34124

Date: March 31, 2003

Telephone No.: 302-366-0500



PTO/SB/17 (01-03)

Approved for use through 04/30/2003. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2003		Complete if Known	
Effective 01/01/2003. Patent fees are subject to annual revision.		Application Number	09/608,537
<input type="checkbox"/> Applicant Claims small entity status. See 37 CFR 1.27		Filing Date	6/30/2000
TOTAL AMOUNT OF PAYMENT (\$)		First Named Inventor	Vishwanathan, et al.
180.00		Examiner Name	Eley, T.
		Art Unit	3724
		Attorney Docket No.	126

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)																									
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None		<b>3. ADDITIONAL FEES</b>																									
<input checked="" type="checkbox"/> Deposit Account																											
Deposit Account Number: 500618																											
Deposit Account Name: Rodell Holdings, Inc.																											
The Commissioner is authorized to: (check all that apply)																											
<input checked="" type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments																											
<input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application																											
<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.																											
<b>FEE CALCULATION</b>																											
<b>1. BASIC FILING FEE</b>																											
<table border="1"><thead><tr><th>Large Entity</th><th>Small Entity</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>1001 750</td><td>2001 375</td><td>Utility filing fee</td><td></td></tr><tr><td>1002 330</td><td>2002 165</td><td>Design filing fee</td><td></td></tr><tr><td>1003 520</td><td>2003 260</td><td>Plant filing fee</td><td></td></tr><tr><td>1004 750</td><td>2004 375</td><td>Reissue filing fee</td><td></td></tr><tr><td>1005 160</td><td>2005 80</td><td>Provisional filing fee</td><td></td></tr></tbody></table>		Large Entity	Small Entity	Fee Description	Fee Paid	1001 750	2001 375	Utility filing fee		1002 330	2002 165	Design filing fee		1003 520	2003 260	Plant filing fee		1004 750	2004 375	Reissue filing fee		1005 160	2005 80	Provisional filing fee			
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SUBTOTAL (1) (\$)																											
<b>2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE</b>																											
<table border="1"><thead><tr><th>Total Claims</th><th>Extra Claims</th><th>Fee from below</th><th>Fee Paid</th></tr></thead><tbody><tr><td>Independent Claims</td><td>-20**=</td><td>X</td><td></td></tr><tr><td>Multiple Dependent Claims</td><td>-3**=</td><td>X</td><td></td></tr></tbody></table>		Total Claims	Extra Claims	Fee from below	Fee Paid	Independent Claims	-20**=	X		Multiple Dependent Claims	-3**=	X															
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SUBTOTAL (2) (\$)																											
Other fee (specify)																											
SUBTOTAL (3) (\$)		180.00																									

04/09/2003 CNGUYEN  
Sale/Ref: 00000049  
01/FC1806

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Blake T. Biederman	Registration No. (Attorney/Agent)	34124
Signature		Telephone	302-366-0500
		Date	March 31, 2003

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